

14230 U.S. PTO
09/18/03

22388 U.S. PTO
2210/664395
09/18/03

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on this date September 18, 2003 in an envelope as "Express Mail Post Office to Addressee", Mailing Label Number EV 270962876 US addressed to the: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

Signature of Person Mailing Paper

Roberto L. Gomez
Name of Person Mailing Paper



23566

PATENT TRADEMARK OFFICE

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventors: Torrey M. Bievenour
7 Shellbourne Lane, Fl. 2
Stony Brook, New York 11790

Lawrence Lieberman
12 Satterly Road
East Setauket, New York 11733

For (title): OPTICALLY ACTIVE COLOR FILTER

1. Type of Application

This new application is an original application.

2. Benefit of Prior U.S. Application

This new application claims the benefit of a prior U.S. Provisional Patent Application No. 60/411,541 filed on September 18, 2002.

3. Papers Enclosed Herewith

The following papers required for a filing date under 37 CFR 1.53(b) are enclosed:

15 Pages of specification
5 Pages of claims
1 Page of abstract
17 Sheets of drawings

The following additional papers are enclosed:

Declaration and Power of Attorney
 Assignment
 Form PTO-1595
 Notice of Address for Receipt of PTO Correspondence
 Information Disclosure Statement
 Form PTO-1449
 Copies of Form PTO-1449 citations

4. Small Entity Status

Applicants claim small entity status (See 37 CFR §1.27)

5. Filing Fee Calculation

The filing fee (small entity) has been calculated as follows:

Basic fee	\$375	
Total claims in excess of 20	(= 11) x \$9	\$99
Total independent claims in excess of 3	(= 4) x \$42	\$168
Multiple dependent claims	(= 0) x \$140	0
 Basic Filing Fee Due:	\$642	

6. Fee Payment Being Made at This Time

<input checked="" type="checkbox"/> Basic filing fee	\$642
<input type="checkbox"/> Fee for recordation of assignment	-----
Total Fees Enclosed:	\$642
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7. Method of Payment of Fees

A check drawn on Ostrager Chong & Flaherty LLP

— in the amount of \$642 to cover the filing fee.
— A check drawn on Ostrager Chong & Flaherty LLP
in the amount of \$40 to cover the recordation fee.
— The Commissioner is hereby authorized to charge the fee
in the amount of ___ to Deposit Account No. 15-0699.

8. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any underpayment of fees required for the filing of this application, or credit any overpayment, to Deposit Account No. 15-0699.

The Commissioner is also hereby authorized to charge any underpayment of fees required to maintain the pendency of this application to Deposit Account No. 15-0699.

9. Address for Receipt of Patent Office Correspondence

All correspondence relating to this application should be sent to the following address:

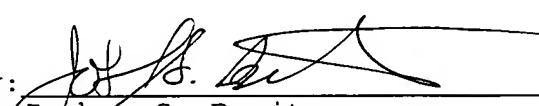
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CUSTOMER NO. 23566

Respectfully submitted,

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Attorneys for Applicants

September 18, 2003
Dated

By: 
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